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PTO/SB/05 (03-01)

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Dock # No. 35857  
First Inventor Mitsuru Ozono  
Title Method And Apparatus For Picking Up...  
Express Mail Label No. EV341098585US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 26]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 9]
5. Oath or Declaration [Total Pages 3]
- a. ☒ Newly executed (original or copy)  
Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
- b. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS) PTO-1449 ☒ Copies of IDS Citations 4
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Check for \$874.00

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_

Prior application Information:

Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label



or ☐ Correspondence address below

Name 00116  
PATENT TRADEMARK OFFICE  
Address  
City State Zip Code  
Country Telephone Fax

Name (Print/Type) Michael W. Garvey Registration No. (Attorney/Agent) 35878  
Signature Date July 15, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

19704 U.S. PTO  
10/620184  
07/15/03

PATENT

**PEARNE & GORDON LLP**  
526 Superior Avenue East, Suite 1200  
Cleveland, Ohio 44114-1484  
(216) 579-1700

Attorney Docket No.: 35857

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450



Sir:

Transmitted herewith for filing by a large entity is the patent application of:

Inventor: Mitsuru Ozono and Teruaki Kasai

For: **METHOD AND APPARATUS FOR PICKING UP  
SEMICONDUCTOR CHIP AND SUCTION AND EXFOLIATION  
TOOL USED THEREFOR**

9 sheets of formal drawings are included.

An assignment of the invention to Matsushita Electric Industrial Co., Ltd. is included along with a Recordation Form Cover Sheet. Please record and return the assignment to the undersigned.

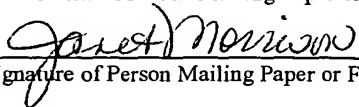
Priority is claimed under 35 U.S.C. § 119 on the basis of the following foreign application:

Japanese Patent Application No. 2002-208382 filed July 17, 2002.

Japanese Patent Application No. 2002-254310 filed August 30, 2002.

Certified copies of these applications are enclosed.

An Information Disclosure Statement is enclosed.

"Express Mail" mailing label number <u>EV341098585US</u>
Date of Deposit <u>July 15, 2003</u>
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
<u>Janet Morrison</u> Printed Name of Person Mailing Paper or Fee
<u></u> Signature of Person Mailing Paper or Fee

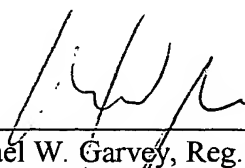
**CLAIMS AS FILED**

<u>For</u>	<u>Number</u>	<u>Rate</u>	<u>Fees</u>
Total claims in excess of 20:	0	\$18.00	\$0.00
Independent claims in excess of 3:	1	\$84.00	\$84.00
Multiple dependent claims, if any, add surcharge of \$280.00			\$0.00
Non-English Specification, add surcharge of \$130.00			\$0.00
Basic Fee			\$750.00
<b>TOTAL FILING FEE</b>			<b>\$834.00</b>
Assignment Recordal Fee of \$40.00			\$40.00
			<b><u>TOTAL FEE    \$874.00</u></b>

A check in the amount of \$874.00 as calculated above is enclosed.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required during the entire pendency of this application, or to credit any overpayment, to Deposit Account No. 16-0820, Order No. 35857.

Respectfully,  
**PEARNE & GORDON LLP**

  
\_\_\_\_\_  
Michael W. Garvey, Reg. No. 35878

Date: 15 JUL 03